

In re Application of: ASAY et al.

Application No.: 09/943,086

Filed: August 31, 2001

Group: Unknown

Title: RELIANCE SERVER FOR Examiner: Unknown

ELECTRONIC TRANSACTION SYSTEM

January 31, 2002

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner of Patents and Trademarks Washington, D. C. 20231

Sir:

Attached is a Form PTO-1449.

Contingent Request Under Rule 97(c): Should a first Action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 03-3975 under Order No. 61047/268225 and proceed to consider this Information Disclosure Statement.

This IDS is intended to be in full compliance with the rules, but should the Examiner find any part of its required content to have been omitted, <u>prompt</u> notice to that effect is earnestly solicited, along with additional time under Rule 97(f), to enable Applicants to comply fully.

ASAY et al. -- Appln. No. 943,086

The references listed on the PTO-1449 were cited by or submitted to the Patent Office in the Patent Application No. 09/206,381, filed December 7, 1998 and/or Patent Application No. 08/767,257, filed December 13, 1996, which are relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these references are not enclosed. 37 C.F.R. § 1.98(d).

Consideration of the foregoing plus the return of a copy of the enclosed Form PTO-1449 with the Examiner's initials in the left column per MPEP 609 along with an early action on the merits of this application are earnestly solicited.

Respectfully submitted,

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